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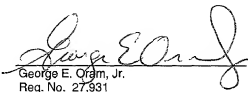
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TITLE OF INVENTION: METHOD OF JUDGING TRUTH OF PAPER TYPE

APPLICANT(S) FOR DO/EO/US: Hidetaka SAKAI; Yoshinori TANABE

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
(THE BASIC FILING FEE IS ATTACHED)
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT articles 22 and 39(1).
 4. ☐ A proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
 6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
(Amendment Under Article 34)
- Items 11. to 16. below concern other document(s) or information included:
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☐ A **FIRST** preliminary amendment.
 - ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
 14. ☐ A substitute specification.
 15. ☐ A change of power of attorney and/or address letter.
 16. ☒ Other items or information:
Check No. 302330
Drawing(s) 12 sheets
Notification of Receipt of Record Copy (PCT/IB/301)
Notification Concerning Submission of Priority Documents (PCT/IB/304)

U.S. APPLN. NO. (IF KNOWN, SEE 37 C.F.R. 1.50) 09/646907		INTERNATIONAL APPLICATION NO. PCT/JP00/01554		ATTORNEY DOCKET NO. P107314-00012 DATE: October 10, 2000	
17. <u>XX</u> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO.....\$860.00 International preliminary examination fee paid to USPTO (37 CFR 1.482)....\$690.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$760.00 Neither international preliminary examination fee (37 CFR 1.482) or international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1,000.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)\$ 96.00				CALCULATIONS PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 1000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than _ 20 _ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
Claims	Number Filed	Number Extra	Rate		
Total Claims	4 - 20 =	0	X \$ 18.00		
Independent Claims	1 - 3 =	0	X \$ 80.00		
Multiple dependent claim(s) (if applicable)			+ \$270.00		
TOTAL OF ABOVE CALCULATIONS =				\$ 1000.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).					
SUBTOTAL =				\$ 1000.00	
Processing fee of \$130.00 for furnishing the English translation later the _ 20 _ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
TOTAL NATIONAL FEE =				\$ 1000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 1040.00	
				Amount to be refunded	\$
				Charged	\$
a. <u>XX</u> A check in the amount of \$ <u>1040.00</u> to cover the above fees is enclosed. b. <u> </u> Please charge my Deposit Account No. <u>01-2300</u> in the amount of \$ <u> </u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <u>XX</u> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>01-2300</u> .					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Arent Fox Kintner Plotkin & Kahn, PLLC 1050 Connecticut Avenue, N.W., Suite 600 Washington, D.C. 20036-5339 Telephone No. (202) 857-6000 Facsimile No. (202) 638-4810					
 George E. Oram, Jr. Reg. No. 27,931					

SPECIFICATION

METHOD OF JUDGING TRUTH OF PAPER TYPE

<Technical Field>

The present invention relates to a method of judging the truth of a paper type such as a bill or a security.

<Background Art>

Known as a method of judging the truth of a bill is a method of judging the truth of a bill to be examined by comparing detection data obtained by a single sensor such as a magnetic sensor from the bill to be examined with reference data previously produced (JP-B-60-215293).

In this method, however, only the data detected by the single sensor is used for judging the truth. If it is judged how sensor is used as the sensor, it is easy to fabricate such a false bill that data which is judged to be a true bill by the sensor is obtained. That is, it is easy to counterfeit a bill.

Therefore, a method of judging the truth of a bill using two types of sensors (see JP-A-51-90890 and JP-A-51-90891). That is, a first sensor for detecting the transmission rate of visible light in

a portion to be examined of a bill to be examined and a second sensor for detecting the transmission rate of infrared light in the portion to be examined of the bill to be examined are prepared, and it is judged whether or not the ratio of the detection level of the transmission rate of the visible light detected by the first sensor to the detection level of the transmission rate of the infrared light detected by the second sensor or the difference therebetween is within a predetermined range, to judge the truth of the bill to be examined.

Although this method also uses the two sensors, however, the truth is judged by simple judgment whether or not the difference or the ratio between the detection levels of the sensors is within a predetermined range. If it is judged how sensors are used as the sensors, it is easy to fabricate such a false bill that data which is judged to be a true bill by the sensors is obtained. That is, it is easy to counterfeit a bill.

An object of the present invention is to provide a method of judging the truth of a paper type which is more difficult to counterfeit.

<Disclosure of Invention>

A method of judging the truth of a paper type

Used as the plurality of types of sensors are two types of sensors, i.e., a magnetic sensor and a light transmission sensor. Used as the plurality of types of sensors are two types of sensors, i.e., a red light transmission sensor and an infrared light transmission sensor. Used as the plurality of types of sensors are three types of sensors, for example, a magnetic sensor, a red light transmission sensor, and an infrared light transmission sensor.

<Brief Description of the Drawings>

Fig. 1 is a plan view showing a sensor for reading characteristic amounts of a bill;

Fig. 2 is a flow chart showing the procedure for preliminary processing for producing reference data;

Figs. 3(a) and 3(b) are graphs schematically showing data representing a detected value x_1 by a light transmission sensor 11 for each of positions on a line L and data representing a detected value x_2 by a magnetic sensor 12 for each of the positions on the line L;

Fig. 4 is a graph for explaining a method of analyzing principal components;

Fig. 5 is a graph schematically showing reference data relating to a first principal

component;

Fig. 6 is a flow chart showing the procedure for truth judgment processing of a bill to be examined;

Fig. 7 is a plan view showing a sensor for reading characteristic amounts of a bill;

Fig. 8 is a front view showing a sensor for reading characteristic amounts of a bill;

Fig. 9 is a flow chart showing the procedure for preliminary processing for producing reference data;

Figs. 10(a) and 10(b) are graphs schematically showing data representing a detected value y_1 by a first light transmission sensor 21 for each of positions on a line L and data representing a detected value y_2 by a second light transmission sensor 22 for each of the position on the line L;

Fig. 11 is a graph for explaining a method of analyzing principal components;

Fig. 12 is a flow chart showing the procedure for truth judgment processing of a bill to be examined; and

Fig. 13 is a graph for explaining a method of analyzing principal components.

<Best Mode for Carrying out the Invention>

Referring now to the drawings, embodiments of the present invention will be described.

[1] Description of First Embodiment

[1-1] Description of Sensor for Reading Characteristic Amounts of Bill

Fig. 1 illustrates a sensor for reading characteristic amounts of a bill.

A bill 1 is fed into an examining device (not shown) and is conveyed in a direction indicated by an arrow. As a sensor for reading characteristic amounts of the bill 1, a light transmission sensor 11 and a magnetic sensor 12 are provided.

The light transmission sensor 11 detects the light transmission rate at a plurality of positions where characteristic amounts are read on a line L in the bill 1. The magnetic sensor 12 detects magnetic field strength at each of the positions where characteristic amounts are read on the line L in the bill 1.

[1-2] Description of Preliminary Processing

In order to judge the truth of a bill, reference data must be produced on the basis of a plurality of true bills previously prepared.

Fig. 2 shows the procedure for preliminary processing for producing reference data.

(1) A plurality of true bills are previously prepared. With respect to each of the true bills, a detected value x_1 by the light transmission sensor 11 and a detected value x_2 by the magnetic sensor 12 are accepted for each of a plurality of positions where characteristic amounts are read on a line L (step 1).

Consequently, data (Fig. 3 (a)) representing the detected value x_1 by the light transmission sensor 11 for each of the positions on the line L and data (Fig. 3 (b)) representing the detected value x_2 by the magnetic sensor 12 for each of the positions on the line L are obtained, as shown in Figs. 3 (a) and 3 (b), with respect to one of the true bills.

(2) An equation of straight line Z_1 corresponding to a first principal component and an equation of straight line Z_2 corresponding to a second principal component are found from the data obtained with respect to all the true bills by a principal component analyzing method (step 2).

Specifically, a point graph is prepared using the detected value x_1 by the light transmission sensor 11 to enter the vertical axis and using the detected value x_2 by the magnetic sensor 12 to enter the horizontal axis as to the data (x_1 , x_2) obtained

for each of the positions on the line L with respect to all the true bills.

Such a first straight line (a Z_1 axis) that the sum of the squares of the lengths of perpendicular lines drawn from respective points out of straight lines passing through the center of gravity (an average) Q of the detected value x_1 by the light transmission sensor 11 and the detected value x_2 by the magnetic sensor 12 is the smallest is drawn. Further, a second straight line (a Z_2 axis) passing through the center of gravity Q and perpendicular to the Z_1 axis is drawn.

Z_1 denotes a first principal component, and Z_2 denotes a second principal component. The first principal component represents the degree of magnetic ink, and the second principal component represents the quality of ink. The distance of each of the points from the center of gravity Q on the straight line Z_1 in Fig. 4 refers to a first principal component score. The distance of each of the points from the center of gravity Q on the straight line Z_2 in Fig. 4 refers to a second principal component score.

The first equation of straight line Z_1 and the second equation of straight line Z_2 which are

expressed by the following equation (1) are found:

$$Z_1 = a_1 \cdot x_1 + b_1 \cdot x_2$$

$$Z_2 = a_2 \cdot x_1 + b_2 \cdot x_2 \quad \dots (1)$$

A method of finding coefficients a_1 , a_2 , b_1 , and b_2 are well-known and hence, is omitted.

(3) Data representing the first principal component score for each of the positions on the line L and data representing the second principal component score for each of the positions on the line L are then produced for each of the true bills (step 3).

A method of producing the data representing the first principal component score for each of the positions on the line L and the data representing the second principal component score for each of the positions on the line L with respect to one of the true bills will be described.

Data (x_1, x_2) for each of the positions on the line L with respect to one arbitrary true bill in Fig. 4 is converted into a value in a system of coordinates formed by the Z_1 axis and the Z_2 axis with the center of gravity Q at the origin. In other words, a first principal component score and a second principal component score represented by the data (x_1, x_2) for each of the positions on the line L with

respect to one arbitrary true bill in Fig. 4 are found.

Specifically, the data (x_1, x_2) for each of the positions on the line L with respect to the one true bill is first substituted in the equation 1, to find values of Z_1 and Z_2 for the position on the line L. An average $*Z_1$ of Z_1 obtained for the positions on the line L and an average $*Z_2$ of Z_2 obtained for the positions on the line L are found. The average $*Z_1$ is subtracted from Z_1 obtained for each of the positions on the line L, to find a first principal component score for the position on the line L. Further, the average $*Z_2$ is subtracted from Z_2 obtained for each of the positions on the line L, to find a second principal component score for the position on the line L.

Consequently, data representing the first principal component score for each of the positions on the line L and data representing the second principal component score for each of the positions on the line L are produced with respect to the true bill.

(4) An average of the first principal component scores with respect to all the true bills is found for each of the positions on the line L, thereby

producing data representing the average of the first principal component scores for the position on the line L (step 4). Consequently, reference data relating to the first principal component is produced, as shown in Fig. 5, for example.

(5) Furthermore, an average of the second principal component scores with respect to all the true bills is found for each of the positions on the line L, thereby producing data representing the average of the second principal component scores for the position on the line L (step 5). Consequently, reference data relating to the second principal component is produced.

[1-3] Description of Method of Judging Truth of Bill to be Examined

Fig. 6 shows the procedure for truth judgment processing of a bill to be examined.

(1) With respect to a bill to be examined, a detected value x_1 by the light transmission sensor 11 and a detected value x_2 by the magnetic sensor 12 are accepted for each of a plurality of positions where characteristic amounts are read on a line L (step 11).

Consequently, data representing the detected value x_1 by the light transmission sensor 11 for each

of the positions on the line L and data representing the detected value x_2 by the magnetic sensor 12 for each of the positions on the line L are obtained with respect to the bill to be examined.

(2) With respect to the bill to be examined, data representing a first principal component score for each of the positions on the line L (data for examination relating to a first principal component) and data representing a second principal component score for each of the positions on the line L (data for examination relating to a second principal component) are produced (step 12).

Data (x_1 , x_2) for each of the positions on the line L with respect to the bill to be examined is first substituted in the equations of straight line Z_1 and Z_2 (the above-mentioned equation 1) found in the preliminary processing, to find values of Z_1 and Z_2 for the position on the line L. An average $*Z_1$ of Z_1 obtained for the positions on the line L and an average $*Z_2$ of Z_2 obtained for the positions on the line L are found. The average $*Z_1$ is subtracted from Z_1 obtained for each of the positions on the line L, to find a first principal component score for the position on the line L. Further, the average $*Z_2$ is subtracted from Z_2 obtained for each of the

positions on the line L, to find a second principal component score for the position on the line L.

Consequently, data for examination relating to the first principal component (data representing the first principal component score for each of the positions on the line L) and data for examination relating to the second principal component (data representing the second principal component score for each of the positions on the line L) are produced with respect to the bill to be examined.

(3) A correlation value (a correlation value relating to the first principal component) between the data for examination relating to the first principal component found from the bill to be examined and reference data relating to the first principal component found by the preliminary processing is calculated (step 13). That is, the square of the difference between data values at the same position for examination of the data for examination relating to the first principal component found from the bill to be examined and the reference data relating to the first principal component is calculated, and the sum of the squares of the differences at the obtained positions for examination is then calculated. The result of the

calculation is a correlation value relating to the first principal component.

(4) The correlation value relating to the first principal component and a predetermined threshold are compared with each other (step 14).

(5) When the correlation value relating to the first principal component is more than the predetermined threshold, the bill to be examined is judged to be a false bill (step 15).

(6) When the correlation value relating to the first principal component is not more than the predetermined threshold, a correlation value (a correlation value relating to the second principal component) between the data for examination relating to the second principal component found from the bill to be examined and reference data relating to the second principal component found by the preliminary processing is calculated (step 16). That is, the square of the difference between data values at the same position for examination of the data for examination relating to the second principal component found from the bill to be examined and the reference data relating to the second principal component is calculated, and the sum of the squares of the differences at the obtained positions for

examination is then calculated. The result of the calculation is a correlation value relating to the second principal component.

(7) The correlation value relating to the second principal component and a predetermined threshold are compared with each other (step 17).

(8) When the correlation value relating to the second principal component is more than the predetermined threshold, the bill to be examined is judged to be a false bill (step 18).

(9) When the correlation value relating to the second principal component is not more than the predetermined threshold, the bill to be examined is judged to be a true bill (step 18).

Although in the above-mentioned first embodiment, the reference data relating to each of the principal components is found by finding an average of the principal component scores with respect to all the true bills for each of the positions on the line L, an average of values of principal components (values of Z) for each of the positions on the line L with respect to all the true bills may be found to produce reference data relating to the principal components.

The reference data relating to the first

principal component will be described. At the step 3 shown in Fig. 2, the data (x_1 , x_2) for each of the positions on the line L is substituted in the equation 1 with respect to each of the true bills, to find the value of Z_1 for the position on the line L. At the step 4 shown in Fig. 2, an average of the values of Z_1 with respect to all the true bills is found for each of the positions on the line L, thereby producing reference data relating to the first principal component.

When the reference data is used, used as data for examination relating to each of the principal components is data composed of the value of the principal component for each of the positions on the line L with respect to the bill to be examined.

Description is made of the data for examination relating to the first principal component. At the step 12 shown in Fig. 6, the data (x_1 , x_2) for each of the positions on the line L with respect to the bill to be examined is substituted in the equation of straight line Z_1 (the above-mentioned equation 1) found in the preliminary processing, to find the value of Z_1 for the position on the line L, thereby producing the data for examination relating to the first principal component.

[2] Description of Second Embodiment

[2-1] Description of Sensor for Reading Characteristic Amounts of Bill

Figs. 7 and 8 illustrate a sensor for reading characteristic amounts of a bill.

A bill 1 is fed into an examining device (not shown) and is conveyed in a direction indicated by an arrow. As a sensor for reading characteristic amounts of the bill 1, a first light transmission sensor 21 and a second light transmission sensor 22 are provided.

The light transmission sensor 21 is constituted by a light emitting diode 21a for irradiating red light having a wavelength λ of 655 nm onto a plurality of positions where characteristic amounts are read on a surface of a bill 1 and on a line L and a photosensor 21b for receiving infrared light emitted from the light emitting diode 21a and passing through the bill 1.

The second light transmission sensor 22 is constituted by a light emitting diode 22a for irradiating infrared light having a wavelength λ of 840 nm onto the plurality of positions where characteristic amounts are read on the surface of the bill 1 and on the line L and a photosensor 22b

for receiving red light emitted from the light emitting diode 22a and passing through the bill 1.

The light emitting diode 21a and the light emitting diode 22a are alternately driven, thereby obtaining outputs of both the photosensors 21b and 22b at each of the positions where characteristic amounts are read on the line L in the bill 1.

[2-2] Description of Preliminary Processing

In order to judge the truth of a bill, reference data must be produced on the basis of a plurality of true bills previously prepared.

Fig. 9 shows the procedure for preliminary processing for producing reference data.

(1) A plurality of true bills are previously prepared. With respect to each of the true bills, a detected value y_1 by the first light transmission sensor 21 and a detected value y_2 by the second light transmission sensor 22 are accepted for each of a plurality of positions where characteristic amounts are read on a line L (step 21).

Consequently, data (Fig. 10 (a)) representing the detected value y_1 by the first light transmission sensor 21 for each of the positions on the line L and data (Fig. 10 (b)) representing the detected value y_2 by the second light transmission sensor 22

for each of the positions on the line L are obtained, as shown in Figs. 10 (a) and 10 (b), with respect to one of the true bills.

(2) An equation of straight line Z_1 corresponding to a first principal component and an equation of straight line Z_2 corresponding to a second principal component are found from the data obtained with respect to all the true bills by a principal component analyzing method (step 2).

Specifically, a point graph is prepared using the detected value y_1 by the first light transmission sensor 21 to enter the vertical axis and using the detected value y_2 by the second light transmission sensor 22 to enter the horizontal axis as to the data (y_1, y_2) obtained for each of the positions on the line L with respect to all the true bills.

Such a first straight line (a Z_1 axis) that the sum of the squares of the lengths of perpendicular lines drawn from respective points out of straight lines passing through the center of gravity (an average) Q of the detected value y_1 by the first light transmission sensor 21 and the detected value y_2 by the second light transmission sensor 22 is the smallest is drawn. Further, a second straight line (a Z_2 axis) passing through the center of gravity

Q and perpendicular to the Z_1 axis is drawn.

Z_1 denotes a first principal component, and Z_2 denotes a second principal component. The first principal component represents the gradation of ink, and the second principal component represents the quality of ink. The distance of each of the points from the center of gravity Q on the straight line Z_1 in Fig. 11 refers to a first principal component score. The distance of each of the points from the center of gravity Q on the straight line Z_2 in Fig. 11 refers to a second principal component score.

The first equation of straight line Z_1 and the second equation of straight line Z_2 which are expressed by the following equation (2) are found:

$$\begin{aligned} Z_1 &= a_1 \cdot y_1 + b_1 \cdot y_2 \\ Z_2 &= a_2 \cdot y_1 + b_2 \cdot y_2 \quad \cdots (2) \end{aligned}$$

A method of finding coefficients a_1 , a_2 , b_1 , and b_2 are well-known and hence, is omitted.

(3) Data representing the first principal component score for each of the positions on the line L and data representing the second principal component score for each of the positions on the line L are then produced for each of the true bills (step 23). A method of producing the data is the same method as that at the step 3 shown in Fig. 2 in the

first embodiment and hence, the description thereof is not repeated.

(4) An average of the first principal component scores with respect to all the true bills is found for each of the positions on the line L, thereby producing data representing the average of the first principal component scores for the position on the line L (step 24). Consequently, reference data relating to the first principal component is produced.

(5) Furthermore, an average of the second principal component scores with respect to all the true bills is found for each of the positions on the line L, thereby producing data representing the average of the second principal component scores for the position on the line L (step 25). Consequently, reference data relating to the second principal component is produced.

[2-3] Description of Method of Judging Truth of Bill to be Examined

Fig. 12 shows the procedure for truth judgment processing of a bill to be examined.

(1) With respect to a bill to be examined, a detected value y_1 by the first light transmission sensor 21 and a detected value y_2 by the second light

transmission sensor 22 are accepted for each of a plurality of positions where characteristic amounts are read on a line L (step 31).

Consequently, data representing the detected value y_1 by the first light transmission sensor 21 for each of the positions on the line L and data representing the detected value y_2 by the second light transmission sensor 22 for each of the positions on the line L are obtained with respect to the bill to be examined.

(2) With respect to the bill to be examined, data representing a first principal component score for each of the positions on the line L (data for examination relating to a first principal component) and data representing a second principal component score for each of the positions on the line L (data for examination relating to a second principal component) are produced (step 32). A method of producing the data is the same as that at the step 12 shown in Fig. 2 in the first embodiment and hence, the description thereof is not repeated.

(3) A correlation value (a correlation value relating to the first principal component) between the data for examination relating to the first principal component found from the bill to be

examined and reference data relating to the first principal component found by the preliminary processing is calculated (step 33). That is, the square of the difference between data values at the same position for examination of the data for examination relating to the first principal component found from the bill to be examined and the reference data relating to the first principal component is calculated, and the sum of the squares of the differences at the obtained positions for examination is then calculated. The result of the calculation is a correlation value relating to the first principal component.

(4) The correlation value relating to the first principal component and a predetermined threshold are compared with each other (step 34).

(5) When the correlation value relating to the first principal component is more than the predetermined threshold, the bill to be examined is judged to be a false bill (step 35).

(6) When the correlation value relating to the first principal component is not more than the predetermined threshold, a correlation value (a correlation value relating to the second principal component) between the data for examination relating

to the second principal component found from the bill to be examined and reference data relating to the second principal component found by the preliminary processing is calculated (step 36). That is, the square of the difference between data values at the same position for examination of the data for examination relating to the second principal component found from the bill to be examined and the reference data relating to the second principal component is calculated, and the sum of the squares of the differences at the obtained position for examination is then calculated. The result of the calculation is a correlation value relating to the second principal component.

(7) The correlation value relating to the second principal component and a predetermined threshold are compared with each other (step 37).

(8) When the correlation value relating to the second principal component is more than the predetermined threshold, the bill to be examined is judged to be a false bill (step 35).

(9) When the correlation value relating to the second principal component is not more than the predetermined threshold, the bill to be examined is judged to be a true bill (step 38).

Also in the above-mentioned second embodiment, an average of values of principal components (values of Z) with respect to all the true bills for each of the positions on the line L may be found to produce reference data relating to the principal components. When the reference data is used, used as data for examination relating to each of the principal components is data composed of the value of the principal component (the value of Z) for each of the positions on the line L with respect to the bill to be examined.

[3] Description of Another Embodiment

Although in the first embodiment and the second embodiment, the truth of the bill is judged using two types of sensors, the truth of the bill can be also judged using three types of sensors.

An example using a magnetic sensor, an infrared light transmission sensor (a first light transmission sensor), a read light transmission sensor (a second light transmission sensor), for example, will be briefly described.

When the three types of sensors are used, three principal components Z_1 , Z_2 , and Z_3 which are perpendicular to one another are found by a principal component analyzing method, as shown in Fig. 13. The

principal component Z_1 represents the gradation of ink, the principal component Z_2 represents the quality of ink (an optical element), and the principal component Z_3 represents the quality of ink (a magnetic element).

In preliminary processing, three types of sensor values (an infrared light transmission sensor value, a read light transmission sensor value, and a magnetic sensor value) for each of positions on a line L are measured from a plurality of true bills, to produce reference data for each of the principal components Z_1 , Z_2 , and Z_3 (data representing an average of principal component scores or values of Z for each of the positions on the line L) from the measured values.

In truth judgment processing of the bill to be examined, three types of sensor values (an infrared light transmission sensor value, a red light transmission sensor value, and a magnetic sensor value) for each of the positions on the line L are measured from the bill to be examined, to calculate data for examination (data representing the principal component score or the value of Z for the position on the line L) for each of the principal components Z_1 , Z_2 , and Z_3 from the measured values.

A correlation value between the data for examination obtained from the bill to be examined and the reference data is calculated for each of the principal components, and the obtained correlation value and a predetermined threshold are compared with each other, to judge the truth of the bill to be examined.

According to the present invention, a method of judging the truth of a paper type which is difficult to counterfeit is obtained.

WHAT IS CLAIMED

1. A method of judging the truth of a paper type, comprising the steps of:

measuring, with respect to each of true paper types previously prepared, a plurality of types of characteristic amounts by a plurality of types of sensors for each of a plurality of portions for examination previously determined, analyzing principal components on the basis of obtained results of the measurement, to find an equation of straight line corresponding to the predetermined principal component, and producing reference data composed of a value relating to the predetermined principal component for the portion for examination on the basis of the found equation of straight line;

measuring, with respect to the paper type to be examined, the plurality of types of characteristic amounts by the plurality of types of sensors for each of the plurality of portions for examination previously determined, and producing data for examination composed of a value relating to the predetermined principal component for the position for examination on the basis of obtained results of the measurement and said equation of straight line; and

comparing the reference data and the data for examination, to judge the truth of the paper type to be examined.

2. The method according to claim 1, wherein two types of sensors, that is, a magnetic sensor and a light transmission sensor are used as the plurality of types of sensors.

3. The method according to claim 1, wherein two types of sensors, that is, a red light transmission sensor and an infrared light transmission sensor are used as the plurality of types of sensors.

4. The method according to claim 1, wherein three types of sensors, that is, a magnetic sensor, a red light transmission sensor, and an infrared light transmission sensor are used as the plurality of types of sensors.

ABSTRACT OF THE DISCLOSURE

The truth of a paper type to be examined is judged by measuring, with respect to each of true paper types previously prepared, a plurality of types of characteristic amounts by a plurality of types of sensors for each of a plurality of portions for examination previously determined, analyzing principal components on the basis of obtained results of the measurement, to find an equation of straight line corresponding to the predetermined principal component, and producing reference data composed of a value relating to the predetermined principal component for the portion for examination on the basis of the found equation of straight line, measuring, with respect to the paper type to be examined, the plurality of types of characteristic amounts by the plurality of types of sensors for each of the plurality of portions for examination previously determined, and producing data for examination composed of a value relating to the predetermined principal component for the position for examination on the basis of obtained results of the measurement and the equation of straight line, and comparing the reference data and the data for examination.

FIG. 1

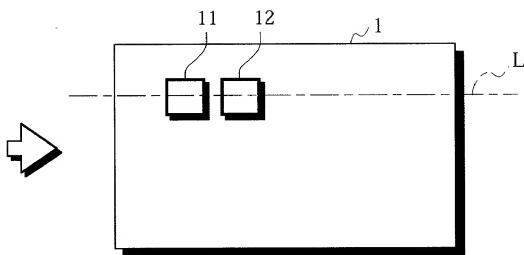


FIG. 2

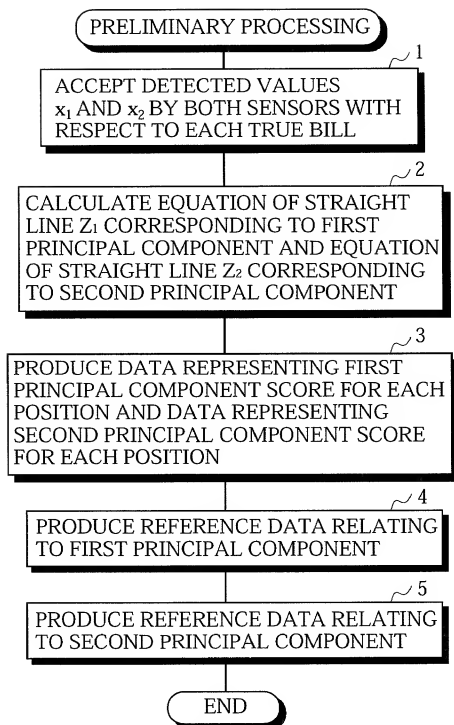


FIG. 3 (a)

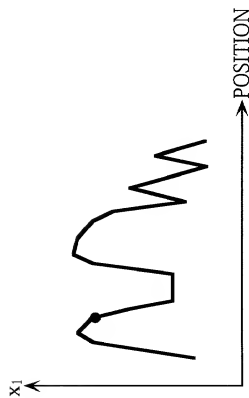


FIG. 3 (b)

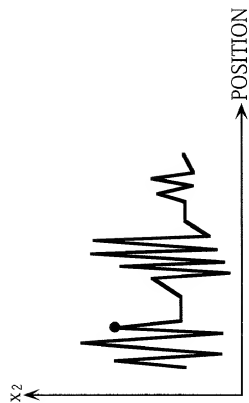


FIG. 4

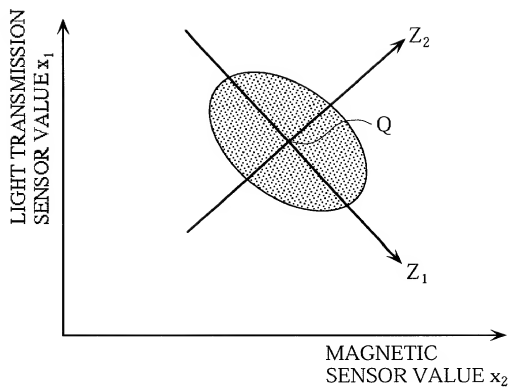


FIG. 5

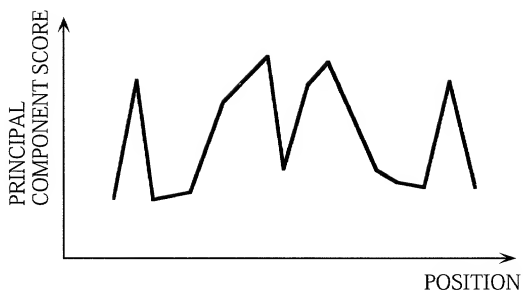


FIG. 6

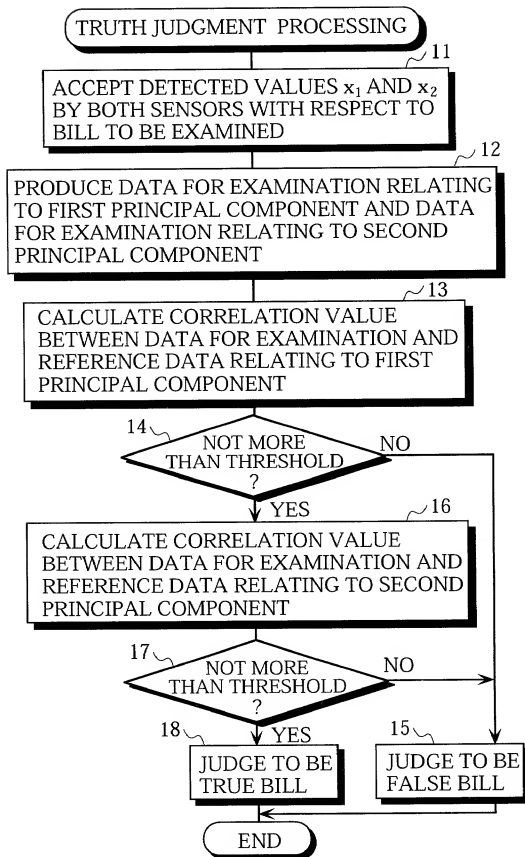


FIG. 7

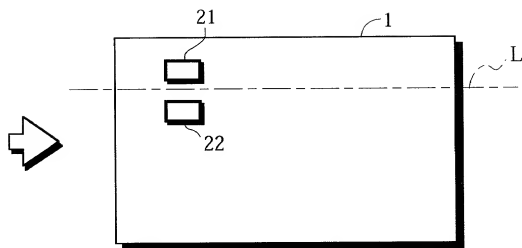


FIG. 8

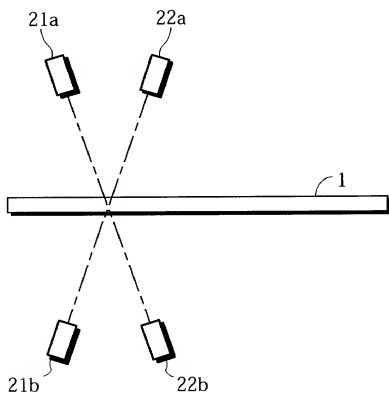


FIG. 9

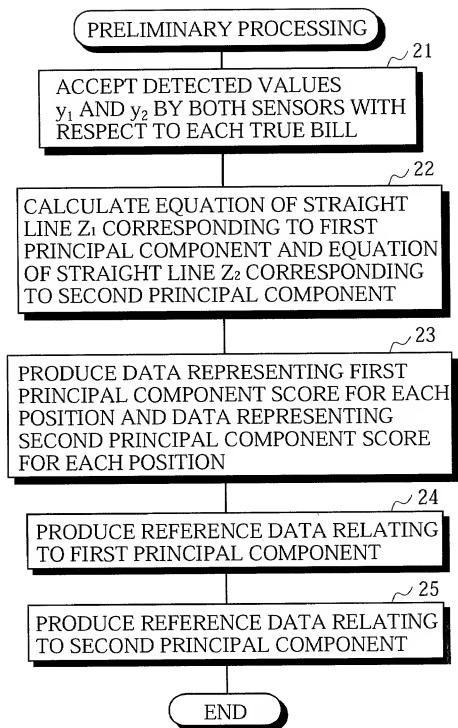


FIG. 10 (a)

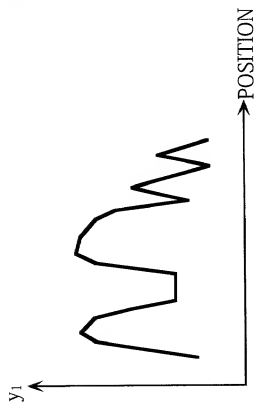


FIG. 10 (b)

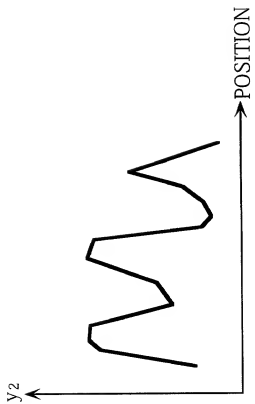


FIG. 11

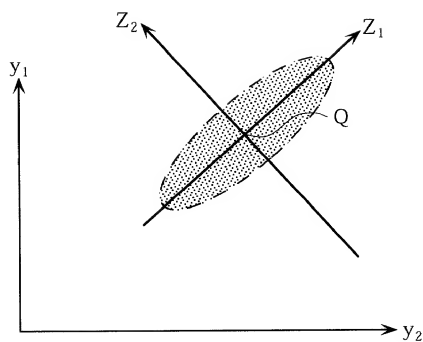


FIG. 12

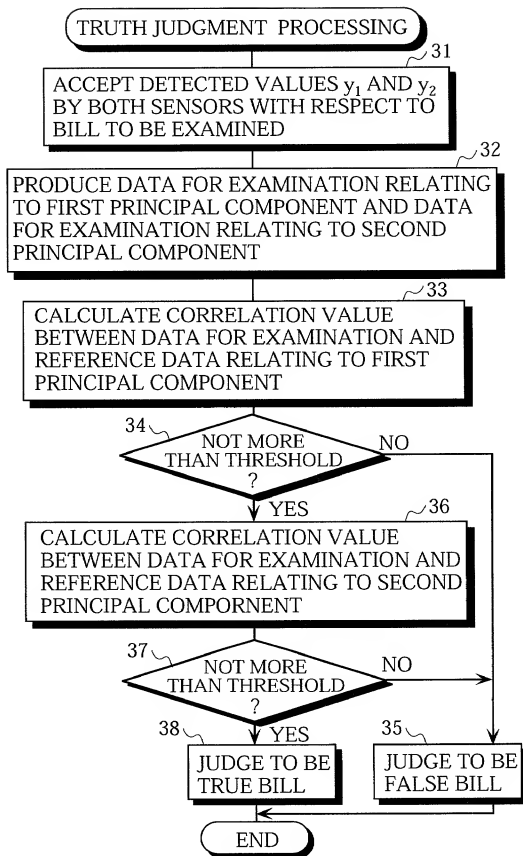
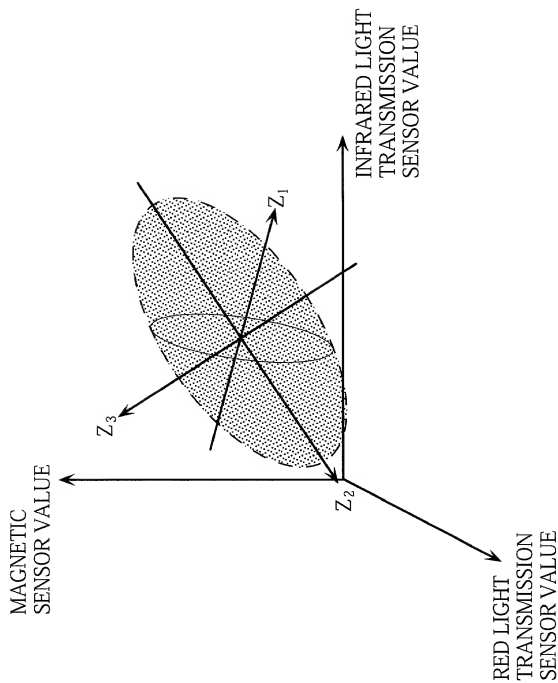


FIG. 13



Declaration For U.S. Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled
(Insert Title) METHOD OF JUDGING TRUTH OF PAPER TYPE

the specification of which is attached hereto unless the following box is checked:

☒ was filed on March 14, 2000 as PCT International Application
Number PCT/JP00/01554 and was amended on _____
and/or was filed on _____ as United States Application
Number _____ and was amended on _____

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International Application having a filing date before that of the application(s) for which priority is claimed:

(List prior foreign applications. See note A on back of this page)	<u>11-071694</u>	<u>Japan</u>	<u>17 March 1999</u>	Priority Claimed <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	
	(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

(Application Number)	(Filing Date)
(Application Number)	(Filing Date)

(See Note B on back of this page)

☐ See attached list for additional prior foreign or provisional applications.

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) (U.S. or PCT) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(List prior U.S. Applications or PCT International applications designating the U.S.)	(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
	(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

And I hereby appoint as principal attorneys: David T. Nikaido, Reg. No. 22,663; Charles M. Marmelstein, Reg. No. 25,895; George E. Oram, Jr., Reg. No. 27,931; Robert B. Murray, Reg. No. 22,980; B. Marcie Emas, Reg. No. 32,131; Douglas H. Goldhush, Reg. No. 33,125; Monica Chin Kiits, Reg. No. 36,105; Richard J. Berman, Reg. No. 39,107; King L. Wong, Reg. No. 37,500; James A. Poulos, III, Reg. No. 31,714; Patrick D. Muir, Reg. No. 37,403; Sharon N. Klesner, Reg. No. 36,335; Murat Ozgu, Reg. No. 44,275; Bradley D. Goldizen, Reg. No. 43,637; and N. Alexander Nolte, Reg. No. 45,689.

Please direct all communications to the following address: ARENT FOX KINTNER PLOTKIN & KAHN, PLLC
1050 Connecticut Avenue, N.W., Suite 600
Washington, D.C. 20036-5339
Telephone No. (202) 857-6000; Facsimile No. (202) 857-6395

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(See Note C on back of this page)

Full name of sole or first inventor Hidetaka SAKAI
Inventor's signature Hidetaka Sakai 24 August 2000
Residence Katano-shi, Osaka, Japan SPY Date
Citizenship Japanese
Post Office Address c/o Sanyo Electric Co., Ltd.,
5-5, Keihanondori 2-chome, Moriguchi-shi, Osaka 570-0083, Japan

00645907.201000

Full name of second joint inventor, if any Yoshinori TANABE
Inventor's signature Yoshinori Tanabe 29 August 2000
Residence Hirakata-shi, Osaka, Japan SRK Date
Citizenship Japanese
Post Office Address c/o Sanyo Electric Co., Ltd.,
5-5, Keihanondori 2-chome, Moriguchi-shi, Osaka 570-0083, Japan

Full name of third joint inventor, if any _____
Inventor's signature _____ Date _____
Residence _____
Citizenship _____
Post Office Address _____

Full name of fourth joint inventor, if any _____
Inventor's signature _____ Date _____
Residence _____
Citizenship _____
Post Office Address _____

Full name of fifth joint inventor, if any _____
Inventor's signature _____ Date _____
Residence _____
Citizenship _____
Post Office Address _____

Full name of sixth joint inventor, if any _____
Inventor's signature _____ Date _____
Residence _____
Citizenship _____
Post Office Address _____

Full name of seventh joint inventor, if any _____
Inventor's signature _____ Date _____
Residence _____
Citizenship _____
Post Office Address _____

Full name of eighth joint inventor, if any _____
Inventor's signature _____ Date _____
Residence _____
Citizenship _____
Post Office Address _____

Full name of ninth joint inventor, if any _____
Inventor's signature _____ Date _____
Residence _____
Citizenship _____
Post Office Address _____

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